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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Hajime YUZURIHARA, Kazunori ITO, Nobuaki ONAGI, Masaru SHINAKI, Eiko SUZUKI, Hiroko OHKURA and Makoto HARIGAYA

Inventors

PHASE-CHANGE OPTICAL RECORDING MEDIUM

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 56 including:
 - a. 5 pages of claims (16 claims)
 - b. 1 page(s) Abstract
2. ☒ 1 sheet of informal ☒ formal drawings (Fig. 1)
3. ☒ Oath or declaration of Applicant(s) (5 total pages)
 - a. Newly executed (original)
 - b. ☒ Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
 - a. Newly executed (original)
 - b. ☒ Unexecuted
 - c. Copy from a prior application

10. X Information Disclosure Statement (IDS)/PTO-1449

X Copies of IDS Citations

11. ___ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. ___ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed _____.--

16. X Priority of application Nos. 2001-054778 and 2001-059441 filed in Japan on February 28,
2001 and March 5, 2001. Applicants hereby claim priority under 35 U.S.C. § 119.

X A certified copy of priority application Nos. 2001-054778 and 2001-059441 are enclosed.

___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	16 - 20	=	0	x	\$ 9	\$ 18	=	\$ 0	\$ 0
Indep. Claims	3 - 3	=	0	x	\$ 42	\$ 84	=	\$ 0	\$ 0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$140	\$280	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 370	\$ 740
					Total Fee			\$	\$ 740


18. X A check in the amount of \$ 740.00 to cover the filing fee.
19. A check in the amount of \$ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. Other (identify)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hajime YUZURIHARA, et al.

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : PHASE-CHANGE OPTICAL RECORDING MEDIUM

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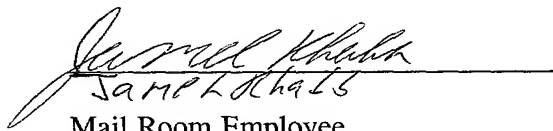
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

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Date of Deposit: February 27, 2002

I hereby certify that the above-identified application (consisting of a 56-page specification (including 5 pages of claims (16 claims) and a 1 page Abstract), and 1 sheet of formal drawing (Fig. 1)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, certified copies of Japanese Application No. 2001-054778 and 2001-059441, Information Disclosure Statement, Form PTO-1449 and copies of the cited information and a check for the \$740 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Samuel Khalil
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